

Oracle Key Vault 21.1

nShield[®] HSM Integration Guide

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1. Introduction

The nShield Hardware Security Module (HSM) can be used to generate and store a Root of Trust (RoT) that protects security objects used by Oracle Key Vault to safeguard users' keys and credentials. The HSM can be used in FIPS 140-2 Level 2 or Level 3 mode to meet compliance requirements. An Oracle Key Vault cluster node can have multiple HSMs enrolled, as long as the HSMs are in the same Security World.



An existing Oracle Key Vault deployment cannot be migrated to use an HSM as a RoT.



Oracle Key Vault can function only if the RoT stored in the HSM is available.



To restart or restore Key Vault in HSM mode when Operator Card Set (OCS) protection is used, the OCS for the HSM must be in slot 0 of the HSM.

1.1. Product configurations

We have successfully tested nShield HSM integration with Oracle Key Vault in the following configurations:

Product	Version
Operating System	Oracle Linux 7 64-bit
Oracle Key Vault Version	21.1

1.2. Supported nShield hardware and software versions

We have successfully tested with the following nShield hardware and software versions:

1.2.1. Connect XC

Security World Software	Firmware	Image	OCS	Softcard	Module
12.60.11	12.50.11	12.60.10	\checkmark	\checkmark	\checkmark

1.2.2. Connect +

Security World Software	Firmware	Image	ocs	Softcard	Module
12.60.11	12.50.8	12.60.10	\checkmark	\checkmark	\checkmark

1.3. Supported nShield functionality

Feature	Support	Feature	Support	Feature	Support
Key generation	Yes	1-of-N Operator Card Set	Yes	Strict FIPS support	Yes
Key management	Yes	k-of-N Operator Card Set	No	Common Criteria support	Yes
Key import	Yes	Softcards	Yes	Load sharing	Yes
Key recovery	Yes	Module-Only key	Yes	Fail over	Yes

1.4. Requirements

Before installing these products, read the associated documentation:

- For the nShield HSM: Installation Guide and User Guide.
- If nShield Remote Administration is to be used: *nShield Remote Administration User Guide*.
- Oracle Key Vault documentation (https://docs.oracle.com/en/database/oracle/key-vault).

In addition, the integration between nShield HSMs and Oracle Key Vault requires:

- A separate non-HSM machine on the network to use as the Remote File System for the HSM. The RFS machine can also be used as a client to the HSM, to allow presentation of Java Cards using nShield Remote Administration. See the *nShield Remote Administration User Guide*.
- PKCS #11 support in the HSM.
- A correct quorum for the Administrator Card Set (ACS).
- Operator Card Set (OCS), Softcard, or Module-Only protection.
 - If OCS protection is to be used, a 1-of-N quorum must be used.
- Firewall configuration with usable ports:
 - 9004 for the HSM (hardserver).
 - 8200 for Key Vault.

Furthermore, the following design decisions have an impact on how the HSM is installed and configured:

- Whether your Security World must comply with FIPS 140-2 Level 3 standards.
 - If using FIPS Restricted mode, it is advisable to create an OCS for FIPS authorization. The OCS can also provide key protection for the Vault master key.
 For information about limitations on FIPS authorization, see the *Installation Guide* of the nShield HSM.
- Whether to instantiate the Security World as recoverable or not.

1.5. More information

For more information about OS support, contact your Oracle Key Vault sales representative or Entrust nShield Support, https://nshieldsupport.entrust.com.

2. Procedures

The high-level procedure to install and configure one or more Oracle Key Vault servers with one or more nShield HSMs is as follows:

- 1. Install the required number of instances of Oracle Key Vault. For instructions, see the Oracle Key Vault documentation.
- 2. Install and configure the required number of HSMs and the Security World software, including setting up the Remote File System (RFS) or Remote Administration. For instructions, see the *Installation Guide* for your HSM.
 - nShield HSMs require a separate non-HSM machine on the network to use as the RFS. You must set up this machine and copy the nShield Security World Software files to it before you install the HSM client software on Oracle Key Vault servers.
 - All enrolled HSMs must be in the same Security World and must have access to the OCS in slot 0 if OCS-protection is used. If the HSM whose slot 0 is used is enrolled on each of the Key Vault servers, the Key Vault web user interface has access to all of the HSMs, as long as they are in the same Security World.
 - If dynamic slots are to be used on the HSMs, set up Remote Administration and configure slot mapping.
- 3. Install the HSM client software on the Oracle Key Vault server(s).
- 4. Enroll the Key Vault(s) as client(s) of the HSM(s).
- 5. Enable HSM mode in the Oracle Key Vault web user interface.
- 6. If you have a high availability Oracle Key Vault environment, enroll your HSM and configure initialization of the HSM in each of the nodes.

2.1. Install HSM client software on the Key Vault server

Perform these steps on the Oracle Key Vault server.

If you have a high availability Oracle Key Vault environment, perform these steps:

- In a primary-standby architecture, on both the primary and the standby.
- In a cluster architecture, on each Key Vault instance to be added to the cluster.

To install HSM client software on the Key Vault server:

1. Log in to the Oracle Key Vault server as the support user using SSH:

```
$ ssh support@<okv_instance>
<Enter the support user password when prompted>
```

2. Switch to root:

\$ su root

3. Install the latest version of the Security World software as described in the *Installation Guide* for the HSM.



We recommend that you uninstall any existing nShield software before installing the new nShield software.

- 4. Create the Security World as described in the *User Guide*, creating the ACS and OCS that you require.
- 5. As root, perform additional edits on the Key Vault server:
 - a. Add the nfast group to the oracle user

root# usermod -a -G nfast oracle

6. Switch to the oracle user, and verify the installation:

```
root# su oracle
oracle$ PATH=/opt/nfast/bin:$PATH
oracle$ export PATH
oracle$ enquiry
```

The mode should say **operational** in the output. For example:

Server:	
enquiry reply flags	none
enquiry reply level	Six
serial number	NNNN-NNNN-NNNN
mode	operational
version	12.60.7
speed index	15843

7. Restart the Oracle Key Vault server for the group change to take effect.



To restart or restore Key Vault in HSM mode when OCS protection is used, the OCS for the HSM must be in slot 0 of the HSM.

8. As the **root** user, set firewall rules to enable port 9004 for the hardserver (the client process in the nShield Security World software that communicates with the HSM).

2.2. Enroll Key Vault as a client of the HSM

- 1. Add the Key Vault server IP address to the client list on the HSM using the front panel or via an update to the Connect configuration file. For instructions, see the *User Guide* for your HSM.
 - Select privileged on any port.
 - If you have a high availability Oracle Key Vault environment, add the IP addresses of all Key Vault servers to the client list on all HSMs.
- 2. Switch to the **oracle** user:

root# su oracle oracle\$ PATH=/opt/nfast/bin:\$PATH oracle\$ export PATH

3. To obtain the ESN and keyhash for the **nethsmenroll** command in the next step, run the **anonkneti** command:

anonkneti <HSM IP address>

4. On the Key Vault server, enroll with the HSM:

oracle\$ nethsmenroll --privileged <HSM IP address> <HSM ESN> <HSM keyhash>

5. Run the **enquiry** command:

enquiry

Verify that the HSM mode is operational and the hardware status is OK.

6. Configure TCP sockets:

oracle\$ config-serverstartup --enable-tcp --enable-privileged-tcp

7. Switch to root and restart the hardserver:

```
oracle$ su root
root# /opt/nfast/sbin/init.d-ncipher restart
```

8. On the Remote File System machine, run the following command:

rfs-setup --gang-client --write-noauth <IP address of your Key Vault server>

9. If OCS protection is intended to be used but the Security World has not been

created yet, edit the cardlist file to enable Java Cards for use through dynamic slots. If the Security World has been created with this RFS, this configuration is already enabled.

a. Go to the following folder on the RFS:

#/opt/nfast/kmdata/config

- b. Open the cardlist file in a text editor.
- c. Add an asterisk (*) to authorize all Java Cards for dynamic slots.

If only certain Java Cards are authorized for this use, list them by their serial number. For example:

4286005559064791 4286005559064792 4286005559064793

- d. Copy the updated cardlist file from the RFS to all clients.
- 10. On the Key Vault server as the oracle user, run the following commands:

```
oracle$ rfs-sync --setup --no-authenticate <IP address of Remote File System machine>
oracle$ rfs-sync --update
```

- 11. As the **root** user, create the **/opt/nfast/cknfastrc** configuration file for PKCS#11 variables. For information on these variables, see the *User Guide* for your HSM.
 - a. OCS protection

If you are using OCS or module protection, cknfastrc needs the following set:

CKNFAST_NO_ACCELERATOR_SLOTS=1 CKNFAST_OVERRIDE_SECURITY_ASSURANCES=explicitness;tokenkeys;longterm

b. Softcard Protection

If you are using softcard protection, then CKNFAST_LOADSHARING must be set. This is not supported alongside the Module-only Key protection settings. See also *Known issues*.

```
CKNFAST_LOADSHARING=1
CKNFAST_NO_ACCELERATOR_SLOTS=1
CKNFAST_OVERRIDE_SECURITY_ASSURANCES=explicitness;tokenkeys;longterm
```

c. Module Protection

If you are using Module-Only protection, **cknfastrc** needs the following set:

CKNFAST_OVERRIDE_SECURITY_ASSURANCES=explicitness;tokenkeys;longterm CKNFAST_FAKE_ACCELERATOR_LOGIN=1

12. On the Key Vault Server, test PKCS#11 access as follows:

```
oracle$ /opt/nfast/bin/ckcheckinst
```

Select slot number to run library test. Various slots are displayed, depending on your configuration.

Example 1:

0 Fixed token "accelerator"

1 Operator card "OKV_OCS"

Example 2:

0 Operator card "OKV_OCS" 1 Soft token "OKV_Softcard"

Test execution:

Test	Pass/Failed
1 Generate RSA key pair	Pass
2 Generate DSA key pair 3 Encryption/Decryption	Pass
4 Signing/Verification	Pass
Deleting test keys	ok
PKCS#11 library test suc	cessful.

2.3. Enable HSM mode in Key Vault

After installing HSM software and enrolling Key Vault as an HSM client, you can enable HSM mode with nShield HSM(s) from the Key Vault web user interface. This will protect the Oracle Key Vault Root of Trust key with the HSM.

1. Log into the Oracle Key Vault web user interface as a Key Administrator.

The Oracle Key Vault Home page appears.

2. Select the **System** tab.

The Status page appears.

3. Select **Settings** on the Left menu and then Select **HSM** under **Network Services**.

Key Vault 21.1						옷 KEYADMIN ~ ④ Help
🔂 Home	🖵 Endpoints	🔦 Keys & Wallets	nlln Reports	风 Users	🖪 System	🔀 Cluster
Last Refreshed Time: 17-MAR-2021 1	0:52:28 [All times UTC -04:00 hot	urs]				
System						
Status	Network Details	æ	Monitoring and Alerts	(Network Services	P
Settings		•				
	Network Info ^원 SSH Access ^원	Web Access ^{ঙ্গ} SSH Tunnel	SNMP ^원 Syslog ^원 A Audit Alerts	udit Vault [®]	Email [®] DNS [®] HSM LDAP Hardware Security Module	NTP®
	System Configurati	on 🕫	Certificates	R	L	
	Console Timeout [®] FIPS [®] Primary-Standby [®]	Backup and Restore RESTful Services ^{हि}	Console Certificate Serv	er Certificate [®]		

The Hardware Security Module page appears.

Key Vault 21.1	44,1145						久 KEYADMIN 〜 ⑦ Help
🟠 Home	🖵 Endpoin	ts	🔍 Keys & Wallets	nh Reports	🙁 Users	🖾 System	🔀 Cluster
Last Refreshed Time: 17-MAR-2021 10:57:55 [All times UTC -04:00 hours]							
System	Hardware Se	ecurity Module				Initialize	Credential Reverse Migrate
Status							
Settings	Status	Φ					
Hardware Security Module	Туре	None					

The red downward arrow shows the non-initialized **Status**. The **Type** field displays **None**.

4. Select Initialize.

The Initialize HSM dialog appears.

Initialize HSM		(\times)
		Cancel
Vendor	Entrust ~	
HSM Credential		
Re-enter HSM Credential		
Recovery Passphrase		
Use Token Label		

- 5. From the **Vendor** list, select **Entrust**:
- 6. Enter a password two times: first in **HSM Credential** and second in **Re-enter HSM Credential**.
 - If you are using OCS protection, then your OCS passphrase needs to be entered in twice with your card presented in slot 0.
 - If you are using Softcard protection, then the Softcard passphrase needs to be entered twice.
 - If you are using Module-Only protection, enter a password that you set up for this credential check.



The password will be needed in the future, for example for reverse migration.

- 7. Enter the recovery passphrase for Oracle Key Vault.
- 8. If you are using token labels, used for OCS protection and softcards for instance, check the **Use Token Label** checkbox and enter the name of the token.
- 9. Select Initialize.

At the end of a successful initialize operation, the **Hardware Security Module** page appears. The initialized status is indicated by an green upward arrow. The **Type** field displays details of the HSM in use.

C Key Vault 21.1							
☆ Home	🖵 Endpoint	s 🕓 Keys & Wallets	🕮 Reports	风 Users	Successfully initialized.		
Last Refreshed Time: 17-MAR-2021 12:52:49 (All times UTC -04:00 hours)							
System	Hardware Se	curity Module			Initialize Set Credential Reverse Migrate		
Status							
Settings	Status	Ŷ					
Hardware Security Module	Туре	Token label: accelerator Manufacturer ID: nCipher Corp. Ltd Firmware version: 12.50					



The **Token** label is **accelerator** if Module-Only protection is used.

Only the first two numbers of the firmware are displayed.

10. After a successful initialize operation of the nShield HSM, run the following command as the oracle user on the Key Vault server:

oracle\$ /opt/nfast/bin/rfs-sync --commit



HSM connection.

If you change the HSM credential on the HSM after initialization, you must also update the HSM credential on the Oracle Key Vault server: In the **Vendor** list select **Entrust**, then select the <u>Set Credential</u> button.

Prepare for HSM Restore	\otimes
	Cancel Set Credential
If HSM mode is already enabled for this instance, resetting the cred	dential to a different value will break the

Vendor	Entrust ~
HSM Credential	
Re-enter HSM Credential	
Use Token Label	

2.4. Enable the HSM in a primary-standby high availability deployment

In a high availability Oracle Key Vault installation, you must enable the HSM(s) separately on the servers that you plan to designate as primary and standby before pairing them in a high availability configuration. On the primary server, the HSM(s) will be enabled through the Oracle Key Vault web user interface. On the standby server, the command line interface with ssh will be used to enable the HSM(s).

- 1. Install Oracle Key Vault on two servers that you mean to designate as primary and standby.
- 2. Install the nShield Security World software on each Oracle Key Vault server, see Install HSM client software on the Key Vault server.
- 3. Enroll the primary and standby nodes as clients of the HSM, see Enroll Key Vault as a client of the HSM.
- 4. From the Oracle Key Vault web user interface, initialize the intended primary server for HSM mode with nShield HSM(s), see Enable HSM mode in Key Vault.

5. On the primary server, run the following commands as the oracle user:

\$ ssh support@<okv_primary_instance> <Enter password when prompted> \$ su root root# su oracle oracle\$ rfs-sync --commit oracle\$ cd /usr/local/okv/hsm/wallet oracle\$ scp cwallet.sso support@<okv_standby_instance>:/tmp oracle\$ scp enctdepwd support@<okv_standby_instance>:/tmp oracle\$ cd /usr/local/okv/hsm/restore oracle\$ scp ewallet.p12 support@<okv_standby_instance>:/tmp

6. On the standby server, run the following commands as the root user:

```
$ ssh support@<okv_standby_instance>
<Enter password when prompted>
$ su root
root# cd /usr/local/okv/hsm/wallet
root# mv /tmp/enctdepwd .
root# mv /tmp/cwallet.sso .
root# chown oracle *
root# chgrp oinstall *
root# cd /usr/local/okv/hsm/restore
root# mv /tmp/ewallet.p12 .
root# chown oracle *
root# chown oracle *
root# chgrp oinstall *
```

7. Continuing as the root user, open the okv_security.conf file for writing:

root# vi /usr/local/okv/etc/okv_security.conf

A sample okv_security.conf file before enabling HSM mode:

```
SNMP_ENCRYPTION_PWD="snmp_encryption_password"
SNMP_AUTHENTICATION_PWD="snmp_auth_password"
SNMP_USERNAME="snmpuser"
SMTP_TRUSTSTORE_PWD="smtp_truststore_password"
HSM_ENABLED="0"
FIPS_ENABLED="0"
HSM_FIPS_ENABLED="1"
OKV_OCI_INSTALL="DISABLED"
HSM_TOKEN_LABEL=""
HSM_KEY_EXTRACTABLE="0"
OKVAG_LINK_UI="https://docs.oracle.com/en/database/oracle/key-vault/21.1/okvag/"
LDAP_TRACE_LEVEL="1"
```

8. Make updates to the okv_security.conf file as follows:

Set the variable HSM_ENABLED to 1. If the variable does not exist, add it and set its value to 1.

HSM_ENABLED="1"

Add the following line:

If using softcards or OCS cards, enter the name of the cardset:

HSM_TOKEN_LABEL="card_set_name"

9. On the standby server, run the rfs-sync --update command as the oracle user:

```
root# su oracle
oracle$ /opt/nfast/bin/rfs-sync --update
```

10. Without restarting the Oracle Key Vault instances, navigate to the web user interfaces of the primary and standby servers, and configure primary-standby via the Oracle Key Vault web user interface. For information on the configuration and settings, see the Oracle documentation.

2.5. Configure an HSM for a multi-master cluster

You can configure HSMs in a multi-master cluster with a single node or multiple nodes. In a multi-master Oracle Key Vault installation, any Key Vault node in the cluster can use any HSM. The nodes in the multi-master cluster can use different TDE wallet passwords (recovery passwords), RoT keys, and HSM credentials.



To ensure complete security, you must HSM-enable all Oracle Key Vault nodes in the cluster.



We recommend you read https://docs.oracle.com/en/database/oracle/ key-vault/21.1/okvag/managing_multimaster.html for details on how to setup clusters.

The example we will use is to setup the cluster from scratch and if you already have a cluster in place, please read the documentation above.

To use an HSM within a cluster, you should start with a single node and add additional nodes as needed.

Oracle recommends the following steps to configure an HSM for a multi-master cluster:

- 1. Convert an Oracle Key Vault server into the first node of the cluster. (The controller node)
 - a. Create the cluster by configuring the first node of the cluster.

- b. Select the **Cluster** tab.
- c. On the Left menu, select **Configure**. The **Configure as a Candidate Node** page appears.

Key Vault 21.1						옷 KEYADMIN ~ ⑦ Help
☆ Home	🖵 Endpoints	🔇 Keys & Wallets	🛍 Reports	风 Users	🔀 System	🔀 Cluster
Last Refreshed Time: 17-MAR-2021 13	:56:56 [All times UTC -04:00 hot	urs]				
Cluster	Configure as Candidate	Node				Convert to Candidate Node
Configure						
	Current Server IP	10.194.148.115				
	First Node of Cluster *	🔵 No 💿 Yes				
	Node Name *	okv211clusternode1				
	Cluster Name *	myokvcluster				
	Cluster Subgroup *	sunrise				

- Current Server IP has the server IP address.
- First Node of Cluster should be set to Yes, if this is the first node, otherwise set it to No.
- Node Name should be prefilled with the host name of the OKV server.
- Cluster Name. Enter the name for the cluster.
- Cluster SubGroup. Enter the name of the group.



If any node in the cluster is already HSM-enabled, you cannot add a new node that is not HSM-enabled.

d. Select Convert Candidate Node. The Cluster Information page appears.

Key Vault 21.1								okv211clu	isternode1@sunrise.myokvd	uster 🎗 KEYADI	AIN 〜 ⑦ Help
☆ Home	🖵 Endpoints		🔦 Keys & Wa	llets	ille Reports		风 User	S	🗐 System	*	Cluster
Last Refreshed Time: 17-MAR-2021 14	:10:31 [All times UT	2 -04:00 hours]									
WARNING: Key Vault operating in Rea	d-Only Restricted M	ode									
Cluster	Cluster Inform	nation					Current Node Info	ormation			
Management											
Monitoring	Cluster Name		myd	kvcluster			Node Name	okv211c	lusternode1		
Conflicts	Cluster Subgroup	s	sunr	ise			Node Type	Read-Or	nly		
Conflict Resolution	Maximum Disable	Node Duration	24 h	irs 💿			Cluster Subgroup	sunrise			
	Cluster Version		21.1	.0.0.0							
	Cluster Details Edit Add Delete Force Delete Disable					Disable					
	Q~ (G	io Actions ~							
	Select Node	Node ID ↑=	Name	IP Address	Mode	Status	Read-Write Peer	Cluster Subgroup	Join Date	Disable Date	Version
		1	okv211clusternode1	10.194.148.115	Read-Only Restricted	ACTIVE	-	sunrise	17-MAR-2021 14:08:05	-	21.1.0.0.0

2. If using multiple nodes, add the candidate nodes to the cluster using the controller node.

Please refer to https://docs.oracle.com/en/database/oracle/key-vault/21.1/okvag/ managing_multimaster.html for details on how to add a candidate node to the cluster. 3. HSM-enable the controller node (first node).

Follow instructions to enable HSM mode on the first node of the cluster. See Enable HSM mode in Key Vault section on how to do this.

4. If multiple nodes are being used in the cluster, create an HSM bundle from the controller node and apply it to the candidate node(s).

You can configure HSM for the other nodes by copying information from the HSMenabled controller node in the cluster. You do that by creating a bundle and applying the bundle to the candidate nodes in the cluster.

- On the contoller node of the cluster (first node), log in to the Oracle Key Vault web user interface as a Key Administrator
- Select the **System** tab.
- On the left side of the System page, select **Settings**.
- Select HSM under the Network Services section. The Hardware Security Module page appears.

Key Vault 21.1					okv211clusternode5@sunrise.okvmy	ycluster ♀ KEYADMIN ∽ ⑦ Hel
යි Home	🖵 Endpoints	💫 Keys & Wallets	🛍 Reports	风 Users	🔀 System	🔀 Cluster
Last Refreshed Time: 19-MAR-202	1 12:47:39 [All times UTC -04:00 ho	urs]				_
System	Hardware Security Mod	lule		Initialize	Set Credential Reverse Migrate	Create Bundle Apply Bundle
Status	,					
Settings	Status 🏠					
Hardware Security Module	Type Token lab Manufact Firmware	vel: accelerator rurer ID: nCipher Corp. Ltd version: 12.50				



The controller node must be HSM enabled first.

• Select Create Bundle.

Create Bundle	\otimes
	Cancel Create Bundle
HSM Credential *	
Recovery Passphrase *	

- Enter the HSM Credentials.
- Enter the recovery passphrase.
- Select Create Bundle.

A message indicating the bundle was created sucessfully appears.

Key Vault 21.1					okv211dustemode1@sunnse.myokvduster 🔍 KEYADMIN 🤍 🔿 T 🗙
🞧 Home	🖵 Endpoi	nts 🔍 Keys & Wallets	alla Reports	🞗 Users	Successfully created the bundle.
Last Refreshed Time: 18-MAR-2021 1	0:01:02 [All times	UTC -04:00 hours]			
WARNING: Key Vault operating in Rea	ad-Only Restricted	d Mode			
System	Hardware S	Security Module		Initialize	Set Credential Reverse Migrate Create Bundle Apply Bundle
Status					
Settings	Status	Û			
Hardware Security Module	Туре	Token label: accelerator Manufacturer ID: nCipher Corp. Ltd Firmware version: 12,50			

 Log in to the controller (first node) HSM-enabled node through SSH as the support user.

% ssh support@HSMENABLEDNODEIP

• Copy the bundle to the candidate node using the node IP addresses:

% sudo scp /usr/local/okv/hsm/hsmbundle support@CANDIDATENODEIPADDRESS:/tmp

 Log in to the candidate node in the cluster, except the original HSM-enabled node, using the node IP address:

% ssh support@CANDIDATENODEIPADDRESS

 Perform the following steps to copy the bundle to the /usr/local/okv/hsm location and apply user and group ownership:

% sudo cp /tmp/hsmbundle /usr/local/okv/hsm/ % sudo chown oracle:oinstall /usr/local/okv/hsm/hsmbundle

• On the candidate node, select **Apply Bundle** on the **Hardware Security Module** page in the Web interface. Enter the recovery passphrase.



If you plan on reverse-migrating the original HSM-enabled node, you must apply the bundle immediately on all nodes first.

5. HSM-enable the candidate node.

Follow instructions to enable HSM mode on the candidate node of the cluster when using multipe nodes. See Enable HSM mode in Key Vault section on how to do this.

- 6. Verify that each HSM is enabled in the cluster.
 - In the Oracle Key Vault web user interface, select the **Cluster** tab.
 - Select **Monitoring** in the left sidebar.

• Check that the Cluster Settings State has all green ticks for HSM:

Cluster Settings State							
Q ~ Go Actions ~							
Node ID	Name	Audit	FIPS	нѕм	SNMP	SYSLOG	DNS
1	okv211clusternode5	×	×	×	×	×	×
2	okv211clusternode4	×	×	×	×	×	×

An enabled HSM does not mean that the HSM is active. The status only indicates whether the HSM is enabled for these nodes. To check whether the HSM is active, use the status information on the **Hardware Security Module** page of the web user interface.

• After you have HSM-enabled all nodes and verified the replication between all nodes, remove the hsmbundle file from all of the nodes.

2.6. Reverse migration operations to a local wallet

Reverse migrating an HSM-enabled Oracle Key Vault server reverts the Key Vault server to using the recovery passphrase to protect the TDE wallet. This operation is necessary if the HSM that protects Oracle Key Vault must be decommissioned.

• Reverse migrating a standalone deployment

1

You can reverse migrate a standalone deployment by using the Oracle Key Vault web user interface.

• Reverse migrating a primary-standby deployment

To reverse migrate a primary-standby deployment, use both the Oracle Key Vault web user interface and the command line.

• Reverse migrating a multi-master cluster

You can reverse migrate a multi-master cluster by using the Oracle Key Vault web user interface.

2.6.1. Reverse migrate a standalone deployment

You can reverse migrate a standalone deployment by using the Oracle Key Vault web user interface.

1. Log into the Oracle Key Vault web user interface as a Key Administrator.

The Oracle Key Vault **Home** page appears.

2. Select the **System** tab.

The **Status** page appears.

- 3. On the left side of the System page, select **Settings**.
- 4. Select **HSM** under the under the **Network Services** section.
- 5. Select Reverse Migrate.

The **HSM Reverse Migrate** dialog box is displayed.

HSM Reverse Migrate		\otimes
	Cancel	Reverse Migrate

Reverse-migrating while in primary-standby mode requires extra manual steps on the standby after this operation completes. Consult the Oracle Key Vault Root of Trust HSM Configuration Guide for more details.

HSM Credential	
Old Recovery Passphrase	
New Recovery Passphrase	
Re-enter New Recovery Passphrase	

- 6. On the HSM Reverse Migrate dialog box, enter the following details:
 - a. Enter the HSM credential in the **HSM Credential** field. For nShield HSMs, the credential is what you use for OCS, Softcard, or Module-Only protection.
 - b. Enter the old recovery passphrase in the **Old Recovery Passphrase** field.
 - c. Enter the new recovery passphrase in the **New Recovery Passphrase** and **Re**enter New Recovery Passphrase fields.
- 7. Select Reverse Migrate.
- 8. The **Hardware Security Module** page appears. The red downward arrow indicates the **Status**.

2.6.2. Reverse migrate a primary-standby deployment

To reverse migrate a primary-standby deployment, use both the Oracle Key Vault web user interface and the command line.

1. On the primary server, log into the Oracle Key Vault web user interface as a Key Administrator.

The Oracle Key Vault **Home** page appears.

2. Select the **System** tab.

The **Status** page appears.

- 3. On the left side of the System page, select **Settings**.
- 4. Select **HSM** under the under the **Network Services** section.
- 5. Select Reverse Migrate.

The **HSM Reverse Migrate** dialog box is displayed.

HSM Reverse Migrate		\otimes
	Cancel	Reverse Migrate

Reverse-migrating while in primary-standby mode requires extra manual steps on the standby after this operation completes. Consult the Oracle Key Vault Root of Trust HSM Configuration Guide for more details.

HSM Credential	
Old Recovery Passphrase	
New Recovery Passphrase	
Re-enter New Recovery Passphrase	

- 6. On the HSM Reverse Migrate dialog box, enter the following details:
 - a. Enter the HSM credential in the **HSM Credential** field. For nShield HSMs, the credential is what you use for OCS, Softcard, or Module-Only protection.
 - b. Enter the old recovery passphrase in the **Old Recovery Passphrase** field.
 - c. Enter the new recovery passphrase in the **New Recovery Passphrase** and **Re**enter New Recovery Passphrase fields.

7. Select Reverse Migrate.

The **Hardware Security Module** page appears. The red downward arrow indicates the **Status**.

8. On the standby server, log in through SSH as the support user, then, with the su command, switch to the root user.

```
$ ssh support@<okv_standby_instance>
$ su root
```

Modify the okv_security.conf file.

\$ vi /usr/local/okv/etc/okv_security.conf

- Delete the line HSM_PROVIDER="2".
- Change the value of the parameter HSM_ENABLED to O.
- Check that the parameter HSM_TOKEN_LABEL is set to "".
- 9. On the standby server, remove the following files:
 - \$ cd /usr/local/okv/hsm/wallet
 \$ rm -f cwallet.sso enctdepwd
 \$ cd /usr/local/okv/hsm/restore
 \$ rm -f cwallet.sso ewallet.p12
 \$ cd /mnt/okvram
 \$ rm -f cwallet.sso ewallet.p12
 \$ cd /usr/local/okv/tde
 \$ rm -f cwallet.sso
- 10. Switch user (su) to oracle:

\$ su oracle

11. Run the following command:

/var/lib/oracle/dbfw/bin/orapki wallet create -wallet /usr/local/okv/tde -auto_login

12. Enter the new recovery passphrase that you specified in Step 5.

Enter wallet password: Operation is successfully completed.

The primary-standby deployment is successfully reverse migrated.

2.6.3. Reverse migrate a multi-master cluster

You can reverse migrate a multi-master cluster by using the Oracle Key Vault web user interface. You will need to do this on each of the nodes in the cluster.

1. Log into the Oracle Key Vault web user interface as a Key Administrator.

The Oracle Key Vault **Home** page appears.

2. Select the **System** tab.

The **Status** page appears.

- 3. On the left side of the System page, select **Settings**.
- 4. Select **HSM** under the **Network Services** section.

The Hardware Security Module page appears.

5. Select Reverse Migrate.

The **HSM Reverse Migrate** dialog box is displayed.

HSM Reverse Migrate	(\times)
	Cancel Reverse Migrate
HSM Credential	
Recovery Passphrase	

- 6. In the HSM Reverse Migrate dialog box, enter the following details:
 - a. Enter the HSM credential. For nShield HSMs, the credential is what you use for OCS, Softcard, or Module-Only protection.
 - b. Enter the recovery passphrase.
- 7. Select Reverse Migrate.

The **Hardware Security Module** page appears. The red downward arrow indicates the **Status**.

2.7. Configure backup of the Key Vault server in HSM mode

1. Log into the Oracle Key Vault web user interface as a Key Administrator.

The Oracle Key Vault **Home** page appears.

2. Select the **System** tab.

The **Status** page appears.

- 3. On the left side of the System page, select **Settings**.
- 4. Select **Backup and Restore** under the **System Configuration** section.
- Add the backup destination on the System Backup page, just as you would in non-HSM mode.
- 6. Perform a backup as usual from the user interface on the web user interface.

2.8. Restore from a Key Vault backup in HSM mode



To restart or restore Key Vault in HSM mode when OCS protection is used, the OCS for the HSM must be in slot 0 of the HSM.

Only backups taken in HSM mode can be restored onto an HSM-enabled Oracle Key Vault. Before you restore a backup onto a system, you must ensure that the system can access both the:

- HSM.
- Root of Trust used to take the backup. You must therefore have installed the HSM on the Oracle Key Vault server and enrolled Oracle Key Vault as a client of the HSM prior to this step.
 - 1. If OCS protection is used, present the OCS card to the HSM.
 - 2. Log into the Oracle Key Vault web user interface as a user with system administrative privileges.

The Oracle Key Vault Home page appears.

3. Select the **System** tab.

The **Status** page appears.

- 4. On the left side of the System page, select **Settings**.
- 5. Select **HSM** under the under the **Network Services** section.

The **Hardware Security Module** page appears. On restore, the Status is disabled first, then enabled after the restore completes.

6. Select Set Credential.

The **Prepare for HSM Restore** screen appears.

- 7. From the **Vendor** list, select **Entrust** and enter the HSM credential twice as requested.
- 8. Select Set Credential.

The HSM credential will be stored in the system. This HSM credential must be entered manually to do an HSM restore because it is not stored in the backup itself.

- 9. On the left side of the page, select **Settings**.
- 10. Select **Backup and Restore** under the **System Configuration** section.
- 11. Select **Restore** and restore the Key Vault backup.

2.9. Restart or restore in HSM mode using nShield Remote Administration

To restart or restore Key Vault in HSM mode when OCS protection is used, the OCS for the HSM must be in slot 0 of the HSM. The **raserv** package of nShield software is only available on the nShield RFS machine, it is not supported on Oracle Key Vault servers. When the Oracle Key Vault server restarts or restores from a backup and Java Cards cannot be presented to the HSMs that are enrolled to that server, the restart or restore will fail. If the HSM is also enrolled to the RFS, you can present Java Cards there when the RFS is operational. This way, when the Oracle Key Vault server comes back up, it can still access the keys from the HSM using the OCS in slot 0.

3. Known issues

Issue	Action for Integrator
If you want to use softcards as a means of protection, you need to set CKNFAST_LOADSHARING=1 in cknfastrc, but this causes the firmware version to display as 0.0 when the Oracle Key Vault server is initialized with the HSM.	None.

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Web site	https://www.entrust.com
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Online documentation:	Available from the Support site listed above.
You can also contact our Support teams by telephone, using the following numbers:	
Europe, Middle East, and Africa	
United Kingdom:	+44 1223 622444 One Station Square Cambridge, UK CB1 2GA
Americas	
Toll Free:	+1 833 425 1990
Fort Lauderdale:	+1 954 953 5229 Sawgrass Commerce Center – A Suite 130 13800 NW 14 Street Sunrise, FL 33323 USA
Asia Pacific	
Australia:	+61 8 9126 9070 World Trade Centre Northbank Wharf Siddeley St Melbourne VIC 3005 Australia
Japan:	+81 50 3196 4994
Hong Kong:	+852 3008 3188 31/F, Hysan Place, 500 Hennessy Road, Causeway Bay

To get help with Entrust nShield HSMs

nShield.support@entrust.com nshieldsupport.entrust.com

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